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SUITE 900

United States Patent and

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

ATTORNEY DOCKET NUMBER FIRST NAMED APPLICANT FILING/RECEIPT DATE APPLICATION NUMBER P07087US00/BAS 09/909.843

LARSON & TAYLOR, PLC 1199 NORTH FAIRFAX STREET

ALEXANDRIA, VA 22314

07/23/2001

Daniel C. Carter

CONFIRMATION NO. 8801

FORMALITIES LETTER OC000000006503917*

Date Mailed: 08/31/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

10-31-2001

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

11/01/2001 SSITHIB1 00000041 09909843

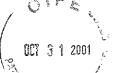
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PEFFE

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Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent

In re patent application of: CARTER

Serial No.: 09/909,843

Filed: 23 July 2001

For: STACKABLE VAPOR-EQUILIBRATION TRAY

FOR CELL CULTURE AND PROTEIN CRYSTAL

GROWTH

Examiner:

Art Unit: 1765

Docket No.: P07087US00/BAS

LETTER - MISSING PARTS

Assistant Commissioner for Patents Washington, D.C.

SIR:

In response to the Notice to File Missing Parts of Application mailed August 31, 2001, enclosed are the following:

- X Copy of Notice to File Missing Parts of Nonprovisional Application
- X **Declaration** signed by the named inventor(s)

Application Data Sheet supplementing signed declaration

Verified English **translation** of the application

- X Check for \$ <u>475.00</u> (as calculated below)
- X Recordation Form Cover Sheet and **Assignment** document to be recorded
- Certified copy of **Priority Document**, the priority of which is hereby claimed
- X Preliminary Amendment

Information Disclosure Statement

- X Applicant claims **small entity status**
- X Other: Letter Formal Drawings; 3 p. Formal Drawings

Fee Calculation (after reducing filing fee by preliminary amendment if noted above).

FEE CALCULATION and notations					
	Now	Basic Number	Present Extra	Rate	\$
TOTAL CLAIMS	16	- 20	0	X \$ 18 =	
INDEP. CLAIMS	3	- 3	0	X \$84 =]
MULTIPLE DEPENDENT CLAIM(S) + \$ 280 =					
X LATE FILING SURCHARGE + \$ 130					130
X BASIC FEE \$ 740 =					740
Total Of Above Calculations =					870
X Reduction by ½ for small entity status of applicant –					435
SUBTOTAL =					435
X Fee for recording of assignment + \$ 40 =					40
Fee for non-English specification + \$ 130 =					
TOTAL OF ALL FEES =					\$475.00
X If no check or an insufficient check is enclosed and a fee is due in connection herewith, the Commissioner is authorized to charge any fee or additional fee due in connection herewith to Deposit Account No. 12-0555. A duplicate of this sheet is enclosed.					

Respectfully submitted,

Date: 31 October 2001

By: J B. Aaron Schulman

Reg. No.: 31,877

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